

# VII. Schrödinger Equation in 2D and 3D

**2D**

$$i\hbar \frac{\partial \bar{\Psi}(x,y,t)}{\partial t} = \frac{-\hbar^2}{2m} \left[ \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} \right] \bar{\Psi}(x,y,t)$$

$$\boxed{\text{TDSE}} \quad + U(x,y,t) \bar{\Psi}(x,y,t)$$

If  $U(x,y)$  only<sup>†</sup> (no time dependence),

$$\boxed{\frac{-\hbar^2}{2m} \left[ \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} \right] \psi(x,y) + U(x,y) \psi(x,y) = E \psi(x,y)}$$

↪ TISE for energy eigenstates  
and energy eigenvalues

An energy eigenstate evolves in time as  $\psi_E(x,y) e^{-iEt/\hbar}$

<sup>†</sup> Separate  $\bar{\Psi}(\vec{r},t) = \psi(\vec{r}) \cdot T(t)$  [See Ch. III]

**3D**

$$i\hbar \frac{\partial \bar{\Psi}(\vec{r},t)}{\partial t} = \frac{-\hbar^2}{2m} \left[ \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2} \right] \bar{\Psi}(\vec{r},t)$$

$$\boxed{\text{TISE}} \quad + U(x,y,z,t) \bar{\Psi}(\vec{r},t)$$

$$= \frac{-\hbar^2}{2m} \nabla^2 \bar{\Psi}(\vec{r},t) + U(\vec{r},t) \bar{\Psi}(\vec{r},t)$$

If  $U(\vec{r},t) = U(\vec{r})$  only,

$$\boxed{\frac{-\hbar^2}{2m} \nabla^2 \psi(\vec{r}) + U(\vec{r}) \psi(\vec{r}) = E \psi(\vec{r})}$$

↪ TISE for energy eigenstates  
and energy eigenvalues

An energy eigenstate evolves in time as  $\psi_E(\vec{r}) e^{-iEt/\hbar}$

# TISE + Boundary Conditions

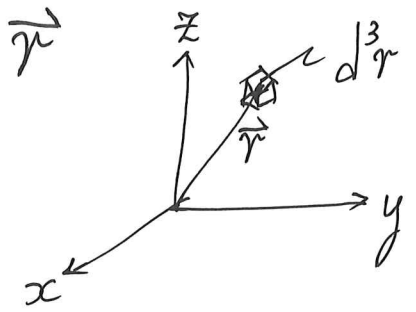
except hitting  $U = \infty$

[  $\Psi(\vec{r})$  is single-valued, continuous;  $\frac{\partial \Psi}{\partial x}$ ,  $\frac{\partial \Psi}{\partial y}$ ,  $\frac{\partial \Psi}{\partial z}$  continuous ]

▪ Bound states can be normalized

▪  $|\Psi(\vec{r}, t)|^2 dx dy dz = |\Psi(\vec{r}, t)|^2 d^3r$  (Born)

= Prob. of finding particle in a volume element  $d^3r$  in the vicinity of position  $\vec{r}$



▪ There are (unbound) states that cannot be normalized in usual way

e.g.  $e^{i\vec{k} \cdot \vec{r}}$  (plane wave for free particle)

[c.f.  $e^{ikx}$  for 1D free particle]

## A. Key Features in 2D/3D QM Problems (Solving TISE)

- Inspect  $U(x, y)$  or  $U(x, y, z)$  or  $U(\vec{r})$  and check if separation of variables on  $\psi(x, y)$  or  $\psi(x, y, z)$  or  $\psi(\vec{r})$  would work
- For nice  $U(\vec{r})$ , separation of variables<sup>†</sup> may work
- Energy eigenfunctions and eigenvalues: need more than one quantum numbers to label them
- Be aware of degeneracy (简并)(简并)

<sup>†</sup> This is worthy of trying, whether it works or not will depend on the form of the potential energy function  $U(\vec{r})$ .

Degenerate  $\Rightarrow$  One value of eigenenergy  
two or more (different) eigenstates with same eigenenergy

Solve  $\hat{H}\psi = E\psi$  (plus B.C.'s)

Obtain:  $\psi_1, \psi_2, \dots, \psi_i, \psi_j, \dots, \psi_n, \dots$   
 $\updownarrow \quad \updownarrow \quad \quad \quad \updownarrow \quad \updownarrow \quad \quad \quad \updownarrow$   
 $E_1, E_2, \dots, E_i, E_j, \dots, E_n, \dots$

if  $E_i = E_j$ , but  $\psi_i \neq \psi_j$

$\psi_i$  and  $\psi_j$  have same eigenenergy

Degeneracy (简并度) (a label of energy) = Number of different  $\psi$ 's with same energy

Degeneracy = 1  $\Rightarrow$  that energy has only one eigenstate  $\psi$

If  $\psi_i$  and  $\psi_j$  share same energy, they are called degenerate states

E.g.  $\psi_{2p_x}$ ,  $\psi_{2p_y}$ ,  $\psi_{2p_z}$  in hydrogen have the same energy (e.f. Chemistry Course)

E.g.  $\psi_n(x)$  [all  $n$ ] in 1D Box

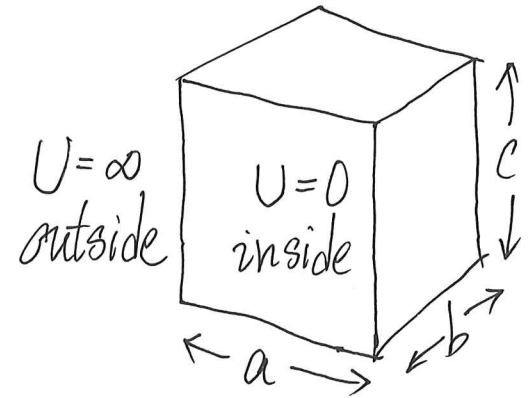
$\psi_n(x) \leftrightarrow E_n$   
 $\nearrow$   
 only one  $\psi_n(x)$  for each  $E_n$  (∴ Non-degenerate or  
 degeneracy = 1)

### B. Example : 3D "Particle-in-an-infinite Box"

Inspect  $U(x, y, z)$

It has the special property that

$$U(x, y, z) = U_1(x) + U_2(y) + U_3(z) \quad \dagger$$



where  $U_1(x)$ ,  $U_2(y)$ ,  $U_3(z)$  are "1D Box" problems in  $x, y, z$  directions

E.g.  $U_1(x) = \begin{cases} 0, & 0 < x < a \\ \infty, & x \leq 0, x \geq a \end{cases}$  and similarly for  $U_2(y)$ ,  $U_3(z)$

Solve TISE

$$-\frac{\hbar^2}{2m} \left[ \frac{\partial^2}{\partial x^2} \psi + \frac{\partial^2}{\partial y^2} \psi + \frac{\partial^2}{\partial z^2} \psi \right] + \underbrace{U(x, y, z)}_{[U_1(x) + U_2(y) + U_3(z)]} \psi = E \psi$$

<sup>†</sup> Not all  $U(x, y, z)$  can be separated into SUMS this way. The effectiveness of separation of variables relies on this form.

- Write  $\psi(\vec{r}) = \psi(x, y, z) = X(x) \cdot Y(y) \cdot Z(z)$  ← Very special form of product of three one-variable functions
- Substitute  $\psi(\vec{r})$ , TISE becomes

$$\underbrace{\left[ \frac{-\hbar^2}{2m} \frac{1}{X(x)} \frac{d^2}{dx^2} X(x) + U_1(x) \right]}_{\text{involves } x \text{ only}} + \underbrace{\left[ \frac{-\hbar^2}{2m} \frac{1}{Y(y)} \frac{d^2}{dy^2} Y(y) + U_2(y) \right]}_{\text{involves } y \text{ only}} + \underbrace{\left[ \frac{-\hbar^2}{2m} \frac{1}{Z(z)} \frac{d^2}{dz^2} Z(z) + U_3(z) \right]}_{\text{involves } z \text{ only}} = E$$

always hold [all x, y, z!]

Possible Only if:  $E_1$  (a constant)                       $E_2$  (a constant)                       $E_3$  (a constant)

i.e.

$$\begin{aligned} \frac{-\hbar^2}{2m} \frac{d^2 X(x)}{dx^2} + U_1(x) X(x) &= E_1 X(x) \\ \frac{-\hbar^2}{2m} \frac{d^2 Y(y)}{dy^2} + U_2(y) Y(y) &= E_2 Y(y) \\ \frac{-\hbar^2}{2m} \frac{d^2 Z(z)}{dz^2} + U_3(z) Z(z) &= E_3 Z(z) \end{aligned}$$

3 problems, each is a 1D Box

AND

$E_1 + E_2 + E_3 = E$

- We solved the 1D Problems
- Allowed energies (energy eigenvalues) are

$$E_{n_1, n_2, n_3} = \frac{\pi^2 \hbar^2}{2m} \left( \underbrace{\frac{n_1^2}{a^2}}_{\substack{\text{from 1D Box} \\ \text{in } x}} + \underbrace{\frac{n_2^2}{b^2}}_{\substack{\text{from 1D Box} \\ \text{in } y}} + \underbrace{\frac{n_3^2}{c^2}}_{\substack{\text{from 1D Box} \\ \text{in } z}} \right)$$

Sum of 1D energy eigenvalues

need 3 quantum numbers to label (specify) one eigenvalue

where  $n_1 = 1, 2, 3, \dots$ ;  $n_2 = 1, 2, 3, \dots$ ;  $n_3 = 1, 2, 3, \dots$

- The corresponding energy eigenfunctions are

$$\psi_{n_1, n_2, n_3}(\vec{r}) = \psi_{n_1, n_2, n_3}(x, y, z) = \underbrace{\sqrt{\frac{8}{abc}}}_{\substack{\text{Normalization} \\ \text{factor}}} \underbrace{\sin\left(\frac{n_1 \pi x}{a}\right) \cdot \sin\left(\frac{n_2 \pi y}{b}\right) \cdot \sin\left(\frac{n_3 \pi z}{c}\right)}_{\substack{\text{Product of 1D Box energy} \\ \text{eigenfunctions}}}$$

$\sqrt{\frac{2}{a}} \cdot \sqrt{\frac{2}{b}} \cdot \sqrt{\frac{2}{c}}$



- Possible to have degenerate states
- Consider:  $a = b \neq c$  [thus Box has higher symmetry than  $a \neq b \neq c$ ]

$$E_{n_1, n_2, n_3} = \frac{\pi^2 \hbar^2}{2m} \left( \frac{n_1^2 + n_2^2}{a^2} + \frac{n_3^2}{c^2} \right)$$

- Ground state?  $\psi_{111}(x, y, z) \leftrightarrow E_{111}$  (degeneracy = 1)

- Consider excited states characterized by

Different states /  $\psi_{211}(x, y, z) = \sqrt{\frac{8}{a^2 c}} \sin\left(\frac{2\pi x}{a}\right) \cdot \sin\left(\frac{\pi y}{a}\right) \cdot \sin\left(\frac{\pi z}{c}\right)$   $[n_1=2, n_2=1, n_3=1]$

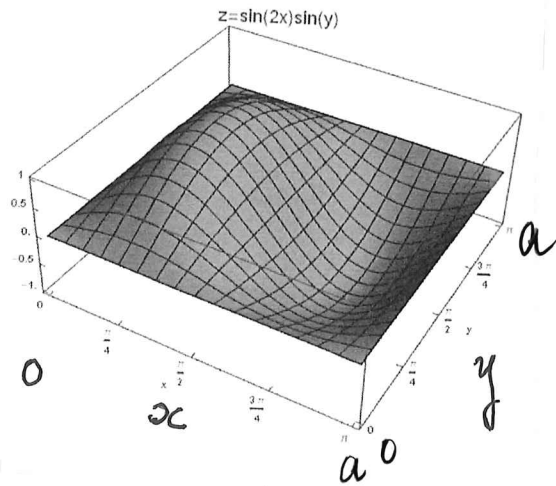
$\psi_{121}(x, y, z) = \sqrt{\frac{8}{a^2 c}} \sin\left(\frac{\pi x}{a}\right) \cdot \sin\left(\frac{2\pi y}{a}\right) \cdot \sin\left(\frac{\pi z}{c}\right)$   $[n_1=1, n_2=2, n_3=1]$

$$\underbrace{E_{211} = E_{121}}_{\text{Same energy}} = \frac{\pi^2 \hbar^2}{2m} \left( \frac{5}{a^2} + \frac{1}{c^2} \right)$$

← This energy (or called energy level) is degenerate with degeneracy = 2

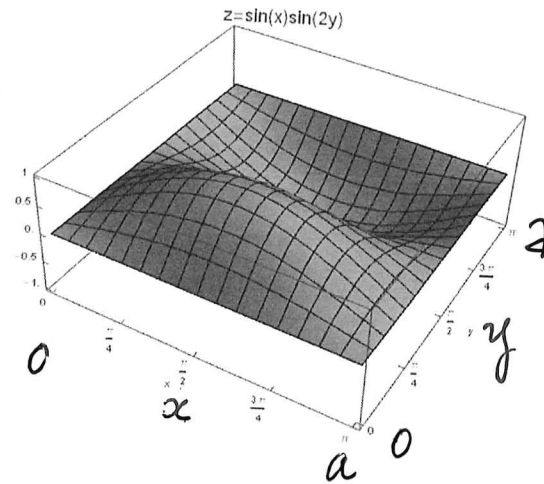
$$n_1=2 \quad n_2=1$$

$$z\text{-axis is: } \sin\left(\frac{2\pi x}{a}\right) \sin\left(\frac{\pi y}{a}\right)$$



$$n_1=1 \quad n_2=2$$

$$\sin\left(\frac{\pi x}{a}\right) \sin\left(\frac{2\pi y}{a}\right)$$



They are different wavefunctions (states)

They correspond to the same energy eigenvalue.

▪ How about 2D isotropic harmonic oscillator  $U(x,y) = \frac{1}{2}m\omega_0^2(x^2+y^2)$ ?

▪ How about 2D anisotropic oscillator  $U(x,y) = \frac{1}{2}m\omega_{01}^2x^2 + \frac{1}{2}m\omega_{02}^2y^2$ ?

▪ How about 2D finite box?  $U(x,y) = \begin{cases} 0, & 0 < x < a, & 0 < y < b \\ U_0, & \text{otherwise} \end{cases}$   
 [Can this be expressed into  $U_1(x) + U_2(y)$ ?]

▪ 2D isotropic oscillator

$$U(x,y) = \frac{1}{2}m\omega_0^2(x^2+y^2)$$

in Cartesian coordinates

vs

$$U(x,y) = U(r,\theta) = \frac{1}{2}m\omega_0^2r^2 \quad ?$$

in plane polar coordinates

▪ 3D versions of these questions?

## Summary

- TISE and TISE in 2D, 3D
- Inspect  $U(\vec{r})$  and think of Separation of Variables
- Method works if  $U(\vec{r})$  can be expressed into sum of terms
- Depending on form of  $U(\vec{r})$ , Cartesian, plane polar, or spherical coordinates can be used
- Be aware of degeneracy!
  - Degeneracy = # different eigenstates corresponding to same energy eigenvalue
  - Non-degenerate: 1 eigenvalue  $\leftrightarrow$  1 eigenfunction  
(Degeneracy = 1)
  - Degeneracy is related to the symmetry of  $U(x, y)$  or  $U(x, y, z)$